UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK SECURITES AND EXCHANGE COMMISSION, Plaintiff, 13-CV-5185 (RMB) - against RICHARD LEE, Defendant.

A brief hearing will be held on Thursday, November 21, 2019, at 11:30 a.m. to assess the proposed settlement between the SEC and Defendant Lee.

Dated: New York, New York November 19, 2019

RICHARD M. BERMAN U.S.D.J.